



**Community Development Block Grant (CDBG)
Fiscal Year 2018 Request for Proposal (RFP)**
[Application due January 31, 2018 by 5:00 pm](#)

HOUSING ACTIVITIES ONLY

Community Development Block Grant (CDBG) Program Overview

The City of Auburn (City) receives an annual CDBG allocation from the U.S. Department of Housing and Urban Development (HUD) to fund a broad range of activities that preserve and develop a viable community. The program's principal beneficiaries are low to moderate-income (LMI) households defined as having incomes within 80% of the Area Median Income.

The City is seeking proposals and input for inclusion of its fiscal year 2018 Action Plan. The 2018 program year begins on June 1, 2018 and ends May 31, 2019. Only non-profit organizations that develop housing to benefit low to moderate-income persons are eligible to apply for funds under the housing category.

Successful proposals must address the needs of the City of Auburn's CDBG 2015 – 2019 Consolidated Plan and must meet the guidelines articulated in the Request for Proposals (RFP). The Consolidated Plan will be available for review at the Community Development Office located at 1500 Pumphrey Avenue (ACDI building), Auburn City Hall, and the Auburn Public Library located at 749 East Thach Avenue. The 2015-2019 Consolidated Plan is available digitally upon request from tmwhite@auburnalabama.org; 334-501-7277. All accommodations are considered.

ELIGIBLE APPLICANTS

Non-Profit Agency

This application should only be completed by organizations that develop, construct, or rehabilitate housing to benefit low to moderate-income households and have a 501(c)(3) tax-exempt designation from the Internal Revenue Service. Funds are provided to the organization to subsidize eligible housing activities that meet HUD's guidelines and the City's local Consolidated Plan.

Active Governing Body

Governance of the agency should be responsible and an active voluntary board, which meets at least quarterly and establishes and enforces policies. The Board should be structured to be representative of the community it serves. If funded, a signed resolution is required authorizing the agency to enter into a contractual agreement with the City of Auburn.

Personnel

The organization must provide for adequate administration of the program to ensure delivery of housing development. If awarded CDBG funds, the agency may allocate no more than 20% of its allocation for program administration.

Audits and Financial Reports

An agency must provide a copy of its most recent Independent Audit and Management letter. Non-Federal entities that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year.

Insurance

If an agency is awarded CDBG funds, at the time of contract signing, the agency must provide evidence of insurance as deemed necessary by the City's Risk Manager, with an indemnification and hold harmless acceptable to the City of Auburn.

DUNS Number

The Federal government requires all applicants for Federal assistance to obtain a Data Universal Number System (DUNS) number provided by Dun & Bradstreet. Assignment of the number is FREE and can be obtained at 1.866.705.5711 or online at www.dnb.com. You must have a DUNS number to apply for CDBG funds.

Community-Based Development Organization (CBDO)

Only organizations that have a CBDO designation is eligible to apply for funds to construct new housing units.

E-Verify Form

In order to comply with the employment practices requirements of the Beason-Hammon Alabama Taxpayer and Citizen Protection Act (Act No. 2011-535 (Code of Alabama (1975) § 31-13-9), the City of Auburn requires a completed [E-Verify Affidavit](#) as a condition for the award of any contract, grant, or incentive. The affidavit presented must be a notarized original [City of Auburn Affidavit](#). Please contact Tamara Yancy, Purchasing Officer (501-7229) in the Finance Department with questions concerning compliance with these affidavit requirements.

For more information regarding the Beason-Hammon Alabama Taxpayer and Citizen Protection Act, please visit the City's website at www.auburnalabama.org. The information will be located on the press release link titled "State Immigration Law Requirements".

Capacity

Applicants must have a familiarity with the area and population to be served and the ability to administer an effective program that is ready to start immediately upon the first day of the grant award period of performance.

CDBG grants are NOT awarded in a lump sum. Grant proceeds are allocated to the grantee on a reimbursement basis ONLY; therefore, an organization applying for CDBG funds MUST have sufficient cash flow to administer the program.

Section 3

Recipient of CDBG funds agrees to comply with the "Section 3" requirements which ensure that opportunities for training and employment arising in connection with a CDBG funded project/program that priority is given to low- and very low-income persons residing within the metropolitan area in which the CDBG-funded project is located; where feasible, priority should be given to low- and very low-income persons within the service area of the project or the neighborhood in which the project is located, and to low- and very low-income participants in other HUD programs;

24 CFR 570.600-614

Recipient of CDBG funds agrees to comply with 24 CFR 570.600-614 (Subpart K) regulatory requirements to ensure opportunities for CDBG funded project/programs are provided to moderate, low and very low-income persons. See Subpart K Exhibit.

APPLICATION SUBMISSION

The City of Auburn will be accepting Request for Proposal Applications to fund projects funded by the 2018 Community Development Block Grant program. ***ALL applications must be complete, emailed received and/or mailed or hand delivered to the following by January 31, 2018 by 5:00 pm:***

<p style="text-align: center;">Mailing Address City of Auburn Community Development Division CDBG FY18 Request for Funding Application 1500 Pumphery Avenue Auburn, AL 36832</p>
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Please refer all questions regarding the Request for Proposal to Todd White, Director of Community Development at (334) 501-7277 or send via email to tmwhite@auburnalabama.org. In the "Subject" of your email correspondence, please make reference to the CDBG FY2018 Funding Application.

All completed proposal applications are due to the Community Development Division without exception on January 31, 2018 by 5:00 pm. Late applications will NOT be accepted or considered for funding. There are no exceptions to this deadline.

ORGANIZATION

Name of Organization: _____

Address: _____

Phone: _____ Fax: _____ Email: _____

Tax Identification Number _____ DUNS Number _____

ELIGIBLE HOUSING ACTIVITIES

An organization may apply for CDBG funds to fund the following housing activities:

- Housing Rehabilitation
- Housing New Construction (CBDOs only)

Environmental Review Requirement: An environmental review will be conducted for each proposed site as regulated by HUD. The City must receive an environmental clearance related to each site before funds are expended. Sites that do not receive an environmental clearance will not be eligible.

Labor Standards Requirement: Davis-Bacon is required for constructing/rehabilitating eight or more housing units.

CLIENTS SERVED

Clients: The activity must benefit low to moderate-income residents of Auburn, AL.

Client income verification: To be eligible for CDBG assistance, a housing activity must serve low to moderate-income (LMI) persons as stated in the HUD income chart below. Documentation of LMI persons is required of every project funded.

HUD 2017 Income Limits

Household Size	Maximum Household Income
1	\$33,150
2	\$37,900
3	\$42,650
4	\$47,350
5	\$51,150
6	\$54,950
7	\$58,750
8	\$62,550

Client data: If funded, the agency is required to obtain, update, and maintain individual client files documenting program eligibility and statistical data.

PROGRAM INFORMATION

Summarize the purpose and activities of the program and what need or the gap in service the program will provide.

Estimated start date: _____

Estimated completion date: _____

Note: Housing rehabilitation projects are expected to be implemented and funds expended during FY2018 (June 1, 2018 – May 31, 2019). The completion of housing new construction projects is contingent on the scope of the project.

NATIONAL OBJECTIVES – BENEFIT TO LOW TO MODERATE-INCOME PERSONS

Housing projects are required to benefit low and moderate-income persons that must be designed to include participation by such persons. Indicate by placing an "x" below how the proposed housing activity will meet the CDBG national objective listed above.

	Housing-related CDBG activities "benefit" lower income people only if occupied by low and moderate-income individuals and families.
	In multifamily building (3 or more units), at least 51% of the units must be occupied by low and moderate-income individuals and families.

FUNDING INFORMATION

Housing Rehabilitation Grant: Housing rehabilitation addresses substandard housing for low-income homeowners. The City provides grants for a maximum of \$10,000 (per agency) for single-family (owner occupied) housing rehabilitation activities. An organization receiving a CDBG grant is prohibited from using the grant funds to finance other CDBG housing rehabilitation projects. Funds must be used by the organization to administer a housing rehabilitation project to provide a direct benefit to the property owner.

Housing New Construction Loan: The City provides construction loans for housing new construction projects. Funding amount is contingent on the scope of the project and the availability of funds. Only organizations with a CBDO designation is eligible.

CDBG funds will only be allocated to an organization for one housing project. Organizations are allowed to allocate no more than 20% of its CDBG allocation to be used for administrative expenditures. The purchase of equipment is NOT eligible.

CDBG FY18 begins on June 1, 2018 and ends on May 31, 2019. **Housing activities are expected to be complete and funds expended within a year from the date the agreement is executed.**

Identify in the space below all planned funding sources for the project. Check the appropriate box to indicate whether the funding source is committed or it has been applied for. If funds are committed, attach letter of commitment with your Request for Proposal application.

Sources of Funds	Committed	Applied	\$ Amount
CDBG FY2018 Funding Request - Grant			
CDBG FY2018 Funding Request - Loan			
Other Funding Sources			
Other Funding Sources			
Other Funding Sources			
TOTAL PROJECT COST			\$

HOUSING BUDGET FORM

Please provide detailed explanation of each line item.

Line Item	CDBG Funding Request	Other Anticipated Funds	Total Cost
Acquisition			
New Construction			
Rehabilitation			
Development/Administrative Fees			
Personnel			
Other (i.e. Financing, Insurance Legal Fees)			
Other			
TOTAL PROJECT COST			

EVALUATION CRITERIA

CDBG funds are awarded through a competitive proposal evaluation process. The evaluation considers all aspects of the proposal as described in the RFP and proposal application.

Proposals are reviewed by staff of the City of Auburn who first review each application for threshold information and then for content. Staff reviews each proposal, based on the criterion listed below. Should a question arise during its review, staff reserves the right to contact the applicant for clarification. The results of those evaluations are then presented to the Mayor and City Council, which renders the final approval on awards.

FUNDING AN AGENCY IS NOT A COMMITMENT OR AN OBLIGATION OF ANY SORT CREATED THAT WOULD OBLIGATE THE CITY OF AUBURN TO CONTINUE TO FUND A PROJECT IN A SUBSEQUENT YEAR. FUNDING RECOMMENDATIONS WILL BE BASED PRIMARILY ON THE FOLLOWING:

- Program must be an eligible CDBG activity
- Program must meet the low to moderate-income national objective (additional information provided below)
- Program must address a need or gap in services of the Auburn community
- Organization must have the capacity to carry out the project in a timely manner
- Prior experience with the organization will be evaluated
- Accomplishments must be measurable so that performance and outcomes can be evaluated
- Leveraging other sources (does the agency rely solely on CDBG or are there other commitments)
- Description and soundness of the program will be evaluated
- Timeliness of expending prior year CDBG allocation will be reviewed
- Program must be practical and the budget must be realistic
- Funding request is reviewed to determine if the amount appears to be necessary and reasonable

Additional factors may be considered when evaluating the proposal.

PROJECT ADMINISTRATION

Performance Measurement

Program Output

Enter the number of LMI households that you expect to benefit from the CDBG funded project.

Housing Rehabilitation	Construction of Housing

Geographic Boundaries

Accurately describe below the geographic boundaries of the service area or identify the target area(s) to be served.

Outcome Indicators

What is the expected outcome of the program to be funded by the CDBG program?

National Objective:

To be eligible for CDBG assistance, the activity **must serve low to moderate-income (LMI) persons**. Documentation of LMI benefit is required of every project funded by the CDBG program.

Describe how your organization will document income and demographic data.

TECHNIAL ASSISTANCE (TA)

Todd White, Director of Community will be available for technical assistance. TA is available to clarify questions and information needed related to the RFP.

(Timeline and Signature Page included on Next Page)

TIMELINE

Description	Date
CDBG funding notification	December 28, 2017
CDBG funding public hearing sessions #1 10:00 AM Frank Brown Center #2 5:30 PM Auburn Center for Developing Industries (ACDI)	January 11, 2018
CDBG funding applications due	January 31, 2018
CDBG AAP FY2018 public hearing sessions #1 10:00 AM Frank Brown Center #2 5:30 PM Auburn Center for Developing Industries (ACDI)	February 5, 2018
CDBG AAP draft published for comment	February 12, 2018
Citizen comment period expires	March 16, 2018
CDBG AAP FY2018 approved by City Council	April 3, 2018
CDBG 2018 fiscal year	June 1, 2018

Please note that dates are subject to change.

Authorized Representative: Print the name and title of the individual authorized by the organization to submit this application. Submit with an original signature.

I hereby certify that to the best of my knowledge the information contained in this proposal is true and accurate.

Name: _____

Title: _____

Signature: _____

Date: _____